

# UCLA

## Student Conduct Code

Integrity Excellence Accountability Respect Service



Effective December 6, 2011

UCLA students are proud to be members of this community. They take pride in the reputation of our faculty; they take pride in our unparalleled programs and services; they take pride in the wealth of diversity of our community members; and they take pride in our beautiful campus. Intertwined with our core values, Bruin Pride is at the very heart of what it means to be a TRUE BRUIN.

Bruins are committed to the values of Integrity, Excellence, Accountability, Respect and Service. Bruins conduct themselves with integrity and understand that the quality of their educational experience is predicated on the quality of their academic work and service to the community

Bruins hold themselves accountable to the commitments they make and for their conduct. When faced with adversity, Bruins engage in thoughtful reflection and exhibit superior ethical decision-making skills. They respect the rights and dignity of all members of our community by listening attentively, communicating clearly, and remaining open to understanding others and their diverse points of view.

Bruins embrace these values, for these are the values of a TRUE BRUIN.

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## INTRODUCTION

In order to carry on its work of teaching, research, and public service, the University has an obligation to maintain conditions under which the work of the University can go forward freely, in accordance with the highest standards of quality, institutional integrity, and freedom of expression, with full recognition by all concerned of the rights and privileges, as well as the responsibilities, of those who comprise the University community. UCLA students assume these privileges and responsibilities upon admission and cannot use ignorance of these policies as a justification for violating community standards.

UCLA's reputation for academic excellence and institutional integrity is among our most valued assets; as such, academic integrity is of paramount importance to our institution and it is vital that the institution do all within our power to maintain these standards.

Students are members of both society and the academic community with attendant rights and responsibilities. Students are expected to make themselves aware of and comply with the law, and with University and campus policies and regulations. While many of UCLA's policies and regulations parallel federal, state and local laws, UCLA's standards may be set higher.

The regulations within the *UCLA Student Conduct Code* have been developed to create and maintain a safe, supportive, and inclusive campus community that engages students in order to foster their academic success, personal growth and responsible citizenship. Students are considered to be maturing adults, capable of making their own decisions, as well as accepting the consequences for those decisions. The student conduct process has been established to respond to incidents involving allegations of inappropriate behavior within our community. This process provides educational opportunities which encourage students to evaluate their own actions, consider their own decision making, and acquire new skills to improve their choices in the future.

The *University of California Policies Applying to Campus Activities, Organizations, and Students* (UC Policies) has been incorporated into the *UCLA Student Conduct Code* either by adapting or inserting verbatim the language of the policies. The complete *University of California Policies Applying to Campus Activities, Organizations, and Students* is at <http://www.ucop.edu/ucophome/coordrev/ucpolicies/aos/toc.html>

Students may contact the Office of the Dean of Students or Student Legal Services for advice concerning these policies.

### I. DEFINITIONS

#### A. Campus Advocate

A Campus Advocate is a member of the campus community (faculty, staff, or student) who actively participates in a student conduct hearing by identifying clarifying questions to be asked of witnesses on behalf of the accused student. Students may identify their own Campus Advocate, or may request to be assisted at no cost by a volunteer Campus Advocate assigned by the Hearing Coordinator. Volunteer Campus Advocates are University employees who are trained to assist students in preparing for student conduct hearings.

#### B. Days

For purposes of the *UCLA Student Conduct Code*, the term "Days" means normal business days and will not include Saturdays, Sundays, or days on which the campus is closed.

#### C. Dean

For the purposes of the *UCLA Student Conduct Code* the term "Dean" refers to the Dean of Students or the Dean's designee.

#### D. Hearing Administrator

The Hearing Administrator is a University employee designated to administer the proceedings of

matters related to the Student Conduct Hearing (see Section IIIJ).

E. Hearing Coordinator

The Hearing Coordinator is a University employee designated to schedule and otherwise coordinate the proceedings of matters related to the Student Conduct Committee.

F. Notice

Whenever the *UCLA Student Conduct Code* requires giving Notice to any Student, sending such Notice to the electronic mailing address most recently provided by the Student to the Registrar will give rise to a conclusive presumption that Notice has been given as of the date of mailing.

G. Student

For the purposes of the *UCLA Student Conduct Code* the term "Student" means an individual for whom the University maintains student records, and who:

1. is enrolled in or registered with an academic program of the University;
2. has completed the immediately preceding term and is eligible for reenrollment, including the recess periods between academic terms; or
3. is on an approved educational leave or other approved leave status, or is on filing-fee (i.e., the fee used in lieu of registration if all formal requirements for graduate degrees, except for taking comprehensive or oral examinations or filing theses or dissertations, are met prior to the first day of instruction of the term) status.

The *UCLA Student Conduct Code* also applies to the following:

1. applicants who become students, for offenses committed as part of the application process;
2. applicants who become students, for offenses committed on campus and/or while participating in University related events or activities that take place following a student's submittal of the application through her or his official enrollment; and
3. former students for offenses committed while a student.

## II. STUDENT CONDUCT POLICIES

Students may be held accountable for the types of misconduct set out in Section II.B below. Discipline may be imposed whether or not such misconduct also violates the law, and whether or not proceedings are, have been, or may be brought in the courts involving the same acts. Because of this, students may be involved in University conduct proceedings before, after, or during court proceedings.

A. Jurisdiction

The University has jurisdiction over student conduct that occurs on University property, or in connection with official University functions whether on or off University property. Although the University will not routinely invoke its disciplinary processes over student conduct that occurs off campus except in connection with an official University function, the University has discretion to exercise jurisdiction over conduct that occurs off campus and that would violate student conduct policies or regulations when:

1. the alleged misconduct indicates the student poses a threat to the safety or security of any member(s) of the University community; or
2. the alleged misconduct involves academic work or the forgery, alteration or misuse of any University document, record, key, electronic device, or identification.

Specifically, the University may choose to exercise jurisdiction over off-campus incidents under alternative A.1 above where the alleged misconduct involves:

- a. conduct that threatens the health or safety of any person, including oneself. This conduct includes, but is not limited to physical assault, sexual assault, sexual misconduct, domestic violence, threats that cause a person reasonably to be in sustained fear for one's own safety or the safety of her or his immediate family, incidents involving the use or display of a weapon likely to cause great bodily harm, and intoxication or impairment through the use of alcohol or controlled substances to the point one is unable to exercise care for one's own safety;
- b. stalking (as defined in Section 102.10 of the *University of California Policies Applying to Campus Activities, Organizations, and Students*);
- c. sexual harassment (as defined in Section 160.00 et seq. of the *University of California Policies Applying to Campus Activities, Organizations, and Students*);
- d. participation in hazing or any method of initiation or pre-initiation into a campus organization or other activity engaged in by the organization or members of the organization at any time that causes or is likely to cause physical injury, or personal degradation or disgrace resulting in psychological harm to any student or other person.

In determining whether or not to exercise off-campus jurisdiction in cases under alternative A.1 above, the University will consider the seriousness of the alleged misconduct; whether the alleged victim is a member of the campus community; the ability of the University to gather information, including the testimony of witnesses; and whether the off-campus conduct is part of a series of actions that occurred both on and off campus.

This section is intended only to provide guidance for the exercise of discretion by the University in invoking its jurisdiction over conduct that occurs off campus. It may not be relied upon by any student charged under this section to create any rights, substantive or procedural, or as a basis for a challenge to the exercise of the University's jurisdiction.

## B. Types of Misconduct

Students may be held accountable for committing or attempting to commit a violation of this Code or for aiding and abetting, facilitating, or participating in the planning of an act that violates this Code (or an act that would be in violation of this Code if it were carried out that by a student). Violations include the following types of misconduct:

### 102.01: Academic Dishonesty

All forms of academic misconduct or research misconduct, including, but not limited to, cheating, fabrication or falsification, plagiarism, multiple submissions or facilitating academic misconduct which occurs in academic exercises or submissions.

NOTE: Allegations involving students (paid or unpaid) who were working on externally (including federally) sponsored research projects or supported by externally (including federally) funded research training grants when Research Misconduct involving their supported work was alleged to have occurred, may be afforded a different procedure if the external sponsor requires a process for responding to allegations of Research Misconduct that is similar to, or based on the federal Research Misconduct regulations. In that case, allegations will be handled under *UCLA Policy 993* (Responding to Allegations of Research Misconduct) and will be forwarded to the RIO who will then determine whether an inquiry and/or investigation are warranted. Should an investigation be conducted, a copy of the Investigation Committee Report and the RIO's written determination of whether or not Research Misconduct occurred will be forwarded to the Dean, who may impose one or

more sanctions, as appropriate. Otherwise, Section III of this Code is the applicable procedure for responding to allegations of fabrication by students.

In the event that the RIO determines that the research record needs to be corrected due to a finding that Research Misconduct occurred, the campus Research Integrity Officer (RIO) will initiate a correction or retraction as appropriate.

For the purposes of the *UCLA Student Conduct Code*, the following definitions apply:

102.01a: Cheating  
Cheating includes, but is not limited to, the use of unauthorized materials, information, or study aids in any academic exercise; the alteration of any answers on a graded document before submitting it for grading; or the failure to observe the expressed procedures or instructions of an academic exercise (e.g., examination instructions regarding alternate seating or conversation during an examination).

102.01b: Fabrication  
Fabrication includes, but is not limited to, falsification or invention of any information or citation in an academic exercise, including Fabrication or Falsification of Research.  
Fabrication of Research is making up data or results and recording or reporting them.  
Falsification of Research is manipulating research materials, equipment or processes, or changing or omitting data or results such that the research is not accurately represented in the research record.

102.01c: Plagiarism  
Plagiarism includes, but is not limited to, the use of another person's work, (including words, ideas, designs, or data) without giving appropriate attribution or citation. This includes, but is not limited to, representing, with or without the intent to deceive, part or all of an entire work obtained by purchase or otherwise, as the Student's original work; the omission of or failure to acknowledge the true source of the work; or representing an altered but identifiable work of another person or the Student's own previous work as if it were the Student's original or new work.

Unless otherwise specified by the faculty member, all submissions, whether in draft or final form, to meet course requirements (including a paper, project, take-home exam, computer program, oral presentation, or other work) must either be the Student's own work, or must clearly acknowledge the source.

102.01d: Multiple Submissions  
Multiple submissions includes, but is not limited to, the resubmission in identical or similar form by a student of any work which has been previously submitted for credit in one course to fulfill the requirements of a second course, without the informed permission/consent of the instructor of the second course; or the submission for credit of work submitted for credit, in identical or similar form, in concurrent courses, without the permission/consent of the instructors of both courses.

102.01e: Facilitating Academic Dishonesty  
Facilitating academic dishonesty includes, but is not limited to, knowingly helping another student commit an act of academic dishonesty. .

102.01f: Coercion Regarding Grading or Evaluation of Coursework



Threatening personal or professional repercussions or discipline against an instructor to coerce the instructor to change a grade or otherwise evaluate the Student's work by criteria not directly reflective of coursework.

- 102.02: Other Forms of Dishonesty  
Other forms of dishonesty, including, but not limited to, fabricating information or knowingly furnishing false information or reporting a false emergency to the University.
- 102.03: Forgery  
Forgery, alteration, or misuse of any University document, record, key, electronic device, or identification.
- 102.04: Theft, Damage or Destruction of Property
- 102.04a: Theft includes taking without expressed permission or, misappropriation of any property of the University or property of others while on University premises or at official University functions; or possession of any property that the Student had knowledge or reasonably should have had knowledge was stolen.
- 102.04b: Damage or destruction of any University property, or the property of others while on University premises or at official University functions.
- 102.05: Computer Misuse  
Theft or abuse of University computers or other University electronic resources such as computer and electronic communications facilities, systems, and services. Abuses include, but are not limited to, unauthorized entry, use, transfer, or tampering with the communications of others; interference with the work of others or with the operation of computer or electronic communications facilities, systems, or services; or violations of copyright laws, whether by theft, unauthorized sharing or other misuse of copyrighted materials such as music, movies, software, photos or text.
- Violation of the UCLA E-mail Policy and Guidelines, of the University of California Electronic Communications Policy ([www.ucop.edu/ucophome/policies/ec](http://www.ucop.edu/ucophome/policies/ec)) or of any other University acceptable or allowable use policies.
- 102.06: Unauthorized Use of University Resources or Name  
Unauthorized entry to, possession of, receipt of, or use of any University services, equipment, resources, or property, including the University's name, insignia, or seal.
- 102.07: Violations of University Policy  
Students may be subject to discipline for violation of any University policy.
- 102.07a: University Housing  
Violations of policy regarding University owned, operated, or leased housing facilities or other housing facilities on University property.
- 102.07b: University Parking  
Violations of policy regarding University parking services or University owned or operated parking facilities.
- 102.07c: University Recreation  
Violations of policy regarding University recreation services, programs, or within University owned or operated recreation facilities.
- 102.07d: University Identification Card (Bruin Card)

Violation of policies, regulations, or rules governing use of official University identification cards, including manufacturing or possession of false identification cards, using another person's BruinCard to obtain services or establish identity, facilitating the misuse of one's BruinCard by another person to obtain services or establish identity, or other misuse of the BruinCard.

102.08: Conduct that Threatens Health or Safety

Conduct that threatens the health or safety of any person, including oneself. This includes, but is not limited to physical assault, sexual assault, sexual misconduct, domestic violence, threats that cause a person reasonably to be in sustained fear for one's own safety or the safety of her or his immediate family, incidents involving the use or display of a weapon likely to cause great bodily harm, and intoxication or impairment through the use of alcohol or controlled substances to the point one is unable to exercise care for one's own safety.

Sexual assault occurs when a person knowingly causes another person to engage in a sexual act by a) physical force, violence, threat, intimidation, and/or coercion; b) ignoring the objections of the other person; c) causing the other's intoxication or impairment through the use of drugs or alcohol; or d) taking advantage of the other person's incapacitation, state of intimidation, helplessness, or other inability to consent. Situations involving physical force, violence, threat, intimidation, and/or coercion fall under the definition of Sexual Assault.

Sexual misconduct occurs when a person, having failed to take appropriate steps to gain effective consent, engages in a sexual act with another under the unreasonable belief that effective consent had been obtained.

NOTE: For the purpose of this regulation, the following apply:

- 1 "Effective consent" referenced in the terms above means words or actions that show a voluntary agreement to engage in a mutually agreed-upon sexual activity.
- 2 "Sexual act" referenced in the terms above includes but is not limited to sexual intercourse, sodomy, oral-genital contact, or sexual penetration with a foreign object (including a finger), the touching of a person's intimate parts (defined as genitalia, groin, breast or buttocks, or clothing covering them), or compelling a person to touch her or his own or another person's intimate parts without effective consent.
- 3 Intoxication of the accused will not diminish her or his responsibility for any violations of this Section.

102.09 Sexual Harassment:

Student Employees: When employed by the University of California, and acting within the course and scope of that employment, Students are subject to the University of California *Policy on Sexual Harassment* for employees (<http://www.ucop.edu/ucophome/coordrev/policy/PP021006Policy.pdf>).

Otherwise, the applicable standard for sexual harassment by students is conduct that is so severe and/or pervasive, and objectively offensive, in that so substantially impairs a person's access to University programs or activities that the person is effectively denied equal access to the University's resources and opportunities.

In compliance with UCLA Procedure 630.1, cases involving allegations of sexual harassment must be either resolved by the Dean or heard before the Student Conduct Committee within sixty Days of the referral of the complaint. This deadline may be extended on approval from the Vice Chancellor of Student Affairs.

- 102.10: **Stalking**  
Stalking behavior in which a student repeatedly engages in a course of conduct directed at another person and makes a credible threat with the intent to place that person in reasonable fear for her or his safety, or the safety of her or his family; where the threat is reasonably determined by the University to seriously alarm, torment, or terrorize the person; and where the threat is additionally determined by the University to serve no legitimate purpose.
- 102.11: **Harassment**  
Harassment is defined as conduct that is so severe and/or pervasive, and objectively offensive, and that so substantially impairs a person's access to University programs or activities that the person is effectively denied equal access to the University's resources and opportunities.
- Student and/or employee sexual harassment is governed by the Policy on Sexual Harassment and the Procedures for Responding to Sexual Harassment. See Section 102.09
- Sanctions may be enhanced where an individual was selected for harassment because of the individual's race, color, national or ethnic origin, citizenship, sex, religion, age, sexual orientation, gender identity, pregnancy, marital status, ancestry, service in the uniformed services, physical or mental disability, medical condition, or perceived membership in any of these classifications.
- 102.12: **Hazing**  
Participating in, engaging in, or supporting hazing or any method of initiation or pre-initiation into a campus organization or other activity engaged in by the organization or members of the organization at any time that causes, or is likely to cause, physical injury or personal degradation or disgrace resulting in psychological harm to any student or other person.
- 102.13: **Obstruction or Disruption**  
Obstruction or disruption of teaching, research, administration, disciplinary procedures, or other University activities.
- 102.14: **Disorderly Behavior**  
Engaging in disorderly or lewd conduct.
- 102.15: **Disturbing the Peace**  
Participation in a disturbance of the peace or unlawful assembly.
- 102.16: **Failure to Comply**  
Failure to identify oneself to, or comply with directions of, a University official or other public official acting in the performance of her or his duties while on University property or at official University functions, or resisting or obstructing such University or other public officials in the performance of or the attempt to perform their duties.
- 102.17: **Controlled Substances**  
Manufacture, distribution, dispensing, possession, use, or sale of, or the attempted manufacture, distribution, dispensing, or sale of, controlled substances (including medicinal marijuana), identified in Federal or State law or regulations, which is unlawful or otherwise prohibited by, or not in compliance with, any University policy or campus regulations or being unable to exercise care for one's own safety because one is under the influence of controlled substances.

(NOTE: This provision shall not apply to circumstances wherein the person under the influence was given a controlled substance without his or her knowledge and permission.)

- 102.18: Alcohol  
 Manufacture, distribution, dispensing, possession, use, or sale of, or the attempted manufacture, distribution, dispensing, or sale of, alcohol which is unlawful or otherwise prohibited by, or not in compliance with, any University policy or campus regulations, or being unable to exercise care for one's own safety because one is under the influence of alcohol.
- (NOTE: This provision shall not apply to circumstances wherein the person under the influence was given alcohol without his or her knowledge and permission.)
- 102.19: Destructive Devices  
 Possession, use, storage, or manufacture of explosives, firebombs, or other destructive devices.
- 102.20: Weapons and Replica Weapons
- 102.20a: Weapons  
 Except as expressly permitted by law, possession, use, storage, or manufacture of a firearm or other weapon capable of causing bodily injury is prohibited.
- 102.20b: Replica Weapons  
 Except as expressly permitted by UCPD policy, possession, use, storage or manufacture of replicas of firearms or other weapons is prohibited.
- 102.21: Violation of Disciplinary Conditions  
 Violation of the conditions contained in the terms of a disciplinary action imposed under the *UCLA Student Conduct Code*.
- 102.22: Violation of Interim or Emergency Suspension Conditions  
 Violation of the conditions contained in a Notice of Interim or Emergency Suspension issued pursuant to Section IV of the *UCLA Student Conduct Code*.
- 102.23: Unauthorized Use or Sale of University Materials  
 Except as provided herein, no Student will give, sell, or otherwise distribute to others or publish any recording made during any course presentation without the written consent of the University and the instructor/presenter. This policy is applicable to any recording in any medium, including handwritten or typed notes.
- Any distribution of a recording of a course presentation at UCLA that captures the actual sounds and/or images of that course presentation, in any medium, must consider not only the rights of the instructor and the University, but also those of other parties. Examples include the privacy rights of students enrolled in the course, the rights of guest lecturers, and the copyright interests in materials authored by others that are displayed or presented during the course presentation. In addition to the consent of the University and the instructor/presenter, it may be necessary to secure permission from these other parties before any recording, distribution, publication, or communication is legally permitted.
- 102.23a: Selling Academic Materials  
 Selling, preparing, or distributing for any commercial purpose academic materials including but not limited to written, video or audio recordings of any course unless authorized by the University in advance and explicitly permitted by the course instructor in writing. The unauthorized sale or commercial distribution of academic materials including but not limited to recordings by a Student is a violation of the *UCLA Student Conduct Code* whether or not it was the Student or someone else who prepared the materials. This policy is applicable to any recording in any medium, including handwritten or typed

notes.

102.23b: Copying Course Notes

Copying for any commercial purpose handouts, readers, or other course materials provided by an instructor as part of a University of California course unless authorized by the University in advance and explicitly permitted by the course instructor and the copyright holder in writing (if the instructor is not the copyright holder).

Students currently enrolled in a course may provide a copy of their own notes or recordings to other currently enrolled students for non-commercial purposes reasonably arising from participation in the course, including individual or group study.

102.23c: Commencement Tickets

Selling Commencement tickets.

102.24: Misuse of University Property

Organizing or carrying out unlawful activity on University property.

102.25: Violations of Law

Students may be subject to discipline on the basis of a conviction under any federal, California state, or local criminal law, when the conviction constitutes reasonable cause to believe that the Student poses a threat to the health or safety of any person, or to the security of any property, on University premises or at official University functions, or to the orderly operation of the campus.

102.26: Terrorizing Conduct

Conduct, where the actor means to communicate a serious expression of intent to terrorize, or acts in reckless disregard of the risk of terrorizing, one or more University students, faculty, or staff.

Terrorize means to cause a reasonable person to fear bodily harm or death, perpetrated by the actor or those acting under his/her control.

Reckless disregard means consciously disregarding a substantial risk.

This section applies without regard to whether the conduct is motivated by race, ethnicity, personal animosity, or other reasons. This section does not apply to conduct that constitutes the lawful defense of oneself, of another, or of property.

102.27: Unwanted Personal Contact

Contact (whether physical, verbal, written, face-to-face, telephonic, electronic, or by other means) that:

- i) A student knows or should know is unwanted;
- ii) Is communicated directly to one or more specific students, faculty, or staff;
- iii) Constitutes severe and/or pervasive, and objectively offensive, conduct;
- iv) Does not constitute speech protected by the First Amendment to the U.S. Constitution (e.g., speech in a public forum on a matter of public concern).

102.28: Expectation of Privacy

The following is prohibited:

Making a video recording, audio recording, taking photographs, or streaming audio/video of any person in a private location without that person's knowledge and express consent.

Looking through a hole or opening, into, or otherwise viewing, by means of any instrumentality, the interior of a private location without the subject's knowledge and express consent.

Photographs and recordings made in private locations of sexual activity or that contain nudity, may not be posted online or otherwise shared or distributed in any manner without the knowledge and express consent of all recorded parties, even if the photograph or recording was originally made with the knowledge and express consent of those parties.

"Nudity" means the absence of an opaque covering which covers the genitals, pubic hair, buttocks, perineum, anus or anal region of any person or any portion of the breast at or below the areola thereof of any female person.

"Private locations" are settings where the person reasonably expected privacy. For example, in most cases the following are considered private locations: residential living quarters, bathrooms, locker rooms, and personal offices.

"Private, non-public conversations and/or meetings" include any communication carried on in circumstances that reasonably indicate that any party wants the communication to be confined to the parties, but excludes a communication made in a public gathering, or in any other circumstance in which the parties to the communication may reasonably expect that the communication may be overheard or recorded.

"Express consent" is clear, unmistakable and voluntary consent that may be in written, oral or nonverbal form.

These provisions do not extend to public events or discussions, nor to lawful official law or policy enforcement activities. These provisions may not be utilized to impinge upon the lawful exercise of constitutionally protected rights of freedom of speech or assembly.

### III. STUDENT CONDUCT PROCEDURES

The University strives to be fair in the handling of student conduct cases. The Chancellor has established these procedures and published the *UCLA Student Conduct Code*, providing for the handling of student conduct cases. The procedures outlined in this section represent the steps employed to reach a resolution in cases of alleged misconduct.

Questions concerning these procedures may be addressed to the Office of the Dean of Students, or to Student Legal Services.

Complaints involving alleged misconduct by students will be submitted in writing to the Office of the Dean of Students, except those in which the Student is subject to an approved disciplinary procedure of a School, College, or other University unit. Complaints falling within the above exception may also be referred to the Office of the Dean of Students if such referral is provided for in the approved disciplinary procedure of the School, College, or other University unit. Referrals to the Office of the Dean of Students will be made within one year following discovery of the alleged misconduct, unless an exception is granted by the Vice Chancellor of Student Affairs.

The Dean may provide information about other campus or community resources which may be of assistance to the Student (e.g., Counseling and Psychological Services, the UCLA Santa Monica Rape Treatment Center, Student Legal Services).

In addition, a Student may be directed to act or refrain from acting in a specified manner. These directions may include directing students not to intentionally contact, telephone, or otherwise disturb

the peace of others specifically named for a specified period of time.. These directions will not terminate the Student's status as a student, and will not be construed as a finding of responsibility on the part of any student. Violation of these directions may be a violation of 102.16 (Failure to Comply).

A. Initial Investigation

Upon receiving a report regarding alleged violation(s), the Dean will consider information acquired from the reporting party and will conduct further investigation to augment that information. If the Dean determines that there is sufficient information to proceed with the Student conduct process, the Office of the Dean of Students will give written Notice to the Student of the alleged violation(s).

B. Notice to Student

Notice to Student shall include the following:

- 1 the nature of the conduct in question and the basis for the allegation, including a brief statement of the basis of the charges, the date or period of time and the location of the alleged incident.
- 2 the University policy(s) and/or campus regulation(s) allegedly violated; and information on how to access a full version of the *UCLA Student Conduct Code* ;
- 3 that the Student has five Days from the date Notice was given to contact the Office of the Dean of Students for the purpose of scheduling an initial meeting;
- 4 that if the Student does not contact the Office of the Dean of Students within the five-day period, or fails to keep any scheduled appointment, a Hold may be placed on the Student's University records and the Student will be notified that this action has been taken. The placement of a Hold on the Student's University records may, for example, prevent the Student from registering and from obtaining transcripts, verifications, or a degree from the University. The Hold will be removed only when the Student either attends a scheduled meeting at the Office of the Dean of Students, or requests in writing that the case be referred to the Student Conduct Committee for a hearing; and
- 5 that no degree may be conferred on a student until any pending disciplinary charges against a Student are fully resolved. The Office of the Dean of Students may place a Hold on that Student's University records to prevent him or her from receiving a degree.

In addition, the Dean may include language directing the Student to act or refrain from acting in a manner specified by the Dean. These directions may include directing the Student not to intentionally contact, telephone, or otherwise disturb the peace of others specifically named until the matter is resolved. Violation of these directions is separate misconduct under section 102.16 (Failure to Comply).

In compliance with UCLA Procedure 630.1, cases involving allegations of sexual harassment must either be resolved by the Dean or heard before the Student Conduct Committee within sixty Days of the referral of the complaint. This deadline may be extended on approval from the Vice Chancellor of Student Affairs.

In cases involving an active police investigation, if the Dean, in conjunction with the University of California Police Department (UCPD), determines that Notice to the Student may interfere with the criminal investigation, the Dean may delay Notice to the Student for a reasonable period of time, for example when the investigation involves the safety of a member of the campus community.

C. Meeting(s) with the Dean

Meeting with the Dean provides the Student an opportunity to resolve the matter. At the initial

meeting with the Student, the Dean will:

- ensure that the Student has been provided information on how to access the *UCLA Student Conduct Code*;
- discuss confidentiality; inform the Student that the content of this and all subsequent communication with the Office regarding information not relevant to the case will, insofar as allowed by law, be treated confidentially, unless such confidentiality is waived by the Student; and that information relevant to the case may be divulged to those who have a legitimate educational interest, including but not limited to the Student Conduct Committee;
- describe to the Student the nature of the conduct in question, and the University policy(ies) and/or campus regulation(s) allegedly violated, hear the Student's response to such allegations, and counsel the Student as appropriate; and
- provide the Student with information about the right to inspect all documents relevant to the case which are in the possession of the Office of the Dean of Students; (Note: all documents will be redacted to comply with state and federal laws and regulations and University policies.)
- provide the Student with copies of the documents relevant to the case, at the Student's written request. (Note: all documents will be redacted to comply with state and federal laws and regulations and University policies.)

D. Decisions in Absentia

If a Student absents herself or himself from the disciplinary process, or has withdrawn from the University while subject to pending disciplinary action, the Dean may move forward to resolve the matter without the Student's participation.

E. Resolution by the Dean

At the conclusion of the investigation, the Dean may take one of several actions listed below. The Student will receive written Notice of the resolution of the matter. Additionally, the results of any disciplinary action or Agreement of Resolution by the University regarding an allegation of, sexual harassment or sexual assault, sexual misconduct or violent physical assault will be disclosed to the alleged victim by the Office of the Dean of Students. The scope of information to be provided under this provision will be: 1) the University's final determination with respect to the alleged, sexual harassment, sexual assault or sexual misconduct or other violent physical assault; and 2) any sanction that is imposed against the alleged offender with respect to the alleged sexual harassment, assault or sexual misconduct or other violent physical assault.

1. Letter of Admonition/Warning

The Dean may provide Notice to a Student that her or his alleged behavior may have violated University policy or campus regulations and that, if repeated, such behavior may be subject to the disciplinary process.

2. Imposing Sanctions

If the Student acknowledges behavior that is prohibited by the *Student Conduct Code*, the Dean may impose one or more of the sanctions listed in Section III.F.

3. Referral to the Student Conduct Committee

A case is referred to the Student Conduct Committee for a hearing when the Student does not acknowledge engaging in behavior prohibited by the *Student Conduct Code*, but the Dean concludes from the available information that it is more likely than not that a violation of the UCLA Student Conduct Code has occurred,

At any time before the Student Conduct Hearing occurs, if the Dean receives new information that leads to the conclusion that that a violation of the UCLA *Student Conduct Code* has not occurred, then the Dean may withdraw the case from Committee. This disposition is binding and terminates all Student Conduct Committee proceedings.

4. Insufficient Information



If the Dean concludes there is insufficient information to issue a finding of responsibility for the alleged policy violation(s), then the matter will be closed with no further action taken.

5. Agreement of Resolution

When the Dean and the Student agree that the above dispositions are not appropriate, an Agreement of Resolution may be used to conclude the matter. This Resolution, while not considered to be a finding of responsibility, is binding. If the Student fails to abide by the terms of the Agreement of Resolution, that failure may be regarded as actionable misconduct and may subject the Student to disciplinary action by the University.

An Agreement of Resolution may include such terms as:

- agreement by the Student to refrain from specific behaviors, and/or to refrain from contacting others involved in the case;
- agreement by the Student to participate in specified educational programs and/or reconciliation processes such as mediation; and/or
- agreement by the Student to participate in specified community service activities.

The Agreement of Resolution is not a formal disciplinary action but will be retained in the case file in the Office of the Dean of Students for four years from the date of the Agreement. During that time, should the Dean have a reasonable basis to believe that the Student has engaged in misconduct related in nature to the conduct which occasioned the Agreement, both cases may be the subject of University disciplinary action.

In cases involving allegations of sexual misconduct and/or sexual harassment, a copy of the Agreement of Resolution will be sent to the complainant.

F. Sanctions and Additional University Actions

When it is determined that a Student's behavior is in violation of University policy(s), the Dean will consider the context and seriousness of the violation in determining the appropriate sanction(s).

Sanctions [for any violations of Section II.B., Types of Misconduct] may be enhanced where an individual was selected because of the individual's race, color, national or ethnic origin, citizenship, sex, religion, age, sexual orientation, gender identity, pregnancy, marital status, ancestry, service in the uniformed services, physical or mental disability, medical condition, or perceived membership in any of these classifications.

1. Warning

Notice or reprimand to the Student that a violation of specified University policies or campus regulations has occurred and that continued or repeated violations of specified University policies or campus regulations may be cause for further disciplinary action.

2. Disciplinary Probation

A status imposed for a specific period of time in which a Student must demonstrate conduct that conforms to University standards of conduct. Conditions restricting the Student's privileges or eligibility for activities may be imposed. Further misconduct during the probationary period or violation of any conditions of the probation may result in disciplinary action.

3. Deferred Suspension

A status imposed for a specific period of time in which the Student must successfully complete conditions outlined by the Office of the Dean of Students. Further violations of the *Student Conduct Code* or failure to complete any assigned conditions may result in additional disciplinary action including but not limited to suspension or dismissal.

4. Deferred Dismissal

A status imposed for a specific period of time in which the Student must successfully complete conditions outlined by the Office of the Dean of Students. Further violations of the *Student Conduct Code* or failure to complete any assigned conditions will result in additional disciplinary action including but not limited to suspension or dismissal.

5. Suspension

Suspension is the termination of UCLA student status for a specified academic term or terms, to take effect at such time as the Dean or the Vice Chancellor of Student Affairs determines. A suspended student will be ineligible to enroll in UCLA Extension concurrent courses during the period of suspension. During the period of suspension, the Dean may place a Hold on the Student's University records which may prevent the Student from registering and from obtaining transcripts, verifications, or a degree from the University.

After the period of Suspension, the Student will be reinstated if:

- a. the Student has complied with all conditions imposed as part of the Suspension;
- b. the Student is academically eligible;
- c. the Student meets all requirements for reinstatement including, but not limited to, removal of Holds on records, and payment of restitution where payment is a requirement of reinstatement; and
- d. the Student meets the deadlines for filing all necessary applications, including those for readmission, registration, and enrollment.
- e. Graduate and professional students are required to apply for readmission following a suspension, and must meet all requirements for readmission.

Suspension may include a prohibition against entering specified areas of the campus. Violation of the conditions of Suspension or of University policies or campus regulations during the period of Suspension may be cause for further disciplinary action.

No suspension from the University will become official until five Days from the date of Notice of the Dean's disposition or the completion of a Student's appeal.

6. Dismissal

Dismissal is the termination of University of California student status for an indefinite period and may include an exclusion from specified areas of the campus.

Readmission to any campus of the University of California after Dismissal may be granted only under exceptional circumstances and requires the specific approval of the Chancellor of the campus to which a dismissed Student has applied.

No dismissal from the University will become official until five Days from the date of Notice of the Dean's disposition or the completion of a Student's appeal.

In addition to the above sanctions, the Dean may also impose one or more of the following conditions:

- Exclusion from Areas of the Campus or from Official University Functions
- Loss of Privileges and Exclusion from Activities
- Community Service
- Restitution
- Participation in designated educational programs, services, or activities

- Letter of Apology
- Participation in a Restorative Justice conference

Failure to comply with the above conditions may subject the Student to additional disciplinary action. In addition, a Hold may be placed on the Student's University records for either a stated period or until the Student satisfies the conditions imposed as part of a sanction. The placement of a Hold on the Student's University records may prevent the Student from registering and from obtaining transcripts, University services, or a degree from the University.

G. Revocation of Awarding of Degree

If, after a degree has been awarded, a degree recipient is found responsible for a violation of the *UCLA Student Conduct Code* involving academic dishonesty or fraud committed while a Student, then the Dean of Students\* may impose, as a sanction, a revocation of the degree, subject to the following procedures:

1. The Dean of Students will submit a recommendation of revocation of the degree to the Academic Senate and obtain the concurrence of the Chair of the Academic Senate.
2. If the degree in question is a graduate/professional degree, the Dean of Students will also submit a recommendation of revocation of the degree to the Dean of the Graduate Division and cognizant academic or Professional School Dean and obtain the concurrence of those Deans.
3. If the degree in question is an undergraduate degree, the Dean of Students will also submit a recommendation of revocation of the degree to the Dean of the Division of Undergraduate Education and the cognizant Academic or Professional School Dean and obtain the concurrence of those Deans.
4. A Notice of Intent to Revoke Degree shall be sent to the Student. This Notice shall include the details of the violation and the basis for the revocation.
5. The Student may submit a written appeal of the revocation to the Vice Chancellor, Student Affairs within twenty business Days from the date of the Notice of Intent to Revoke Degree. The imposition of the Revocation of Degree will be deferred during such appeal. The review of such appeal will be in accordance with the procedure specified in section III.L. of the *Student Conduct Code*. If the Student does not appeal within twenty business Days, the sanction of revocation of the degree is final.
6. If the Vice Chancellor upholds the revocation of degree, the Student may submit a written appeal to the Chancellor within twenty business Days from the date of Notice of the Vice Chancellor's decision. The decision of the Chancellor is final.

*\*For degrees issued by the School of Medicine, authority to revoke degrees is bestowed upon the School of Medicine Dean of Students.*

H. Limits on Sanctions

The loss of University employment will not be a form of sanction under the *UCLA Student Conduct Code*. However, when student status is a condition of employment, the loss of student status will result in termination of the Student's employment. This section is not intended to preclude the disclosure to other appropriate University officials of information relating to any student's conduct records if that information may be reasonably construed to have bearing on the Student's suitability for a specific employment situation. This section is also not intended to

preclude an employer from terminating a student's employment outside the disciplinary process.

In imposing sanctions other than Suspension or Dismissal, access to housing and health services will not be restricted unless the nature of the act that occasioned the sanction is appropriately related to the restriction.

I. Posting of Suspension or Dismissal on Academic Transcript

When, as a result of violations of the *UCLA Student Conduct Code*, a student is suspended or dismissed, the fact that the sanction was imposed must be posted on the academic transcript for the duration of the Suspension or Dismissal.

J. Appeal of the Sanction

If the Dean imposes a sanction of Deferred Suspension, Deferred Dismissal, Suspension, or Dismissal, the Student may appeal the sanction, to the Vice Chancellor of Student Affairs, on the grounds that the sanction assigned is substantially disproportionate to the severity of the violation. All appeals must be written and should clearly articulate and support the grounds for appeal. Appeals must be received within five Days of the date of Notice from the Dean of her or his action. Appeals may be submitted directly to the Vice Chancellor by e-mail sent from the Student's official University email account, or may be delivered to the Campus Hearing Coordinator.

The imposition of a sanction of Suspension or Dismissal will be deferred during such appeal. The Vice Chancellor of Student Affairs is not limited to those sanctions imposed by the Dean and may impose any one or more of the sanctions listed in Section III.F above, even though such decision may result in the imposition of more severe disciplinary action.

The decision of the Vice Chancellor of Student Affairs is final.

K. The Student Conduct Committee

When a case is referred to the Student Conduct Committee, the following will be provided to the Student to assure a fair hearing:

- The Student will be provided written Notice within a reasonable time before the hearing; this Notice will include a brief statement of the basis of the charges, the University policy(s) or campus regulations allegedly violated, and the time and place of the hearing.
- The Student will be provided the opportunity for a prompt hearing.
- The Student will be given the opportunity to present documents and to propose questions to be asked of any witnesses or about any information presented in the hearing.
- The Hearing Committee will evaluate information presented in the hearing to determine if it is more likely or not that the Student has violated the *Student Conduct Code*.
- Within ten Days following the conclusion of the hearing, the Student will receive a written report including a summary of the findings of fact.
- The Student will be provided an opportunity to respond to the hearing report; at the request of the Student, access to a copy of the recording of the hearing will be made available for use in preparation of a response to the hearing report.

1. Referral of Cases to the Student Conduct Committee

A hearing will be provided for all cases referred to the Student Conduct Committee under the *UCLA Student Conduct Code*.

2. Composition of the Student Conduct Committee

The Student Conduct Committee will consist of the following members:

- a. Chair(s): At least one Chair shall be appointed by the Chancellor.
  - i. The Chair(s) may be faculty members, staff members, faculty or staff emeriti, or volunteers who have either training in the law or experience with the hearing process.
  - ii. They will be appointed for not less than one nor more than three years; however, each may serve until a successor is appointed.

- b. Not fewer than three members from the faculty/faculty emeriti, in addition to the Chair(s) will be appointed by the Chancellor
    - i. They will be appointed for not less than one nor more than three years; however, may each serve until a successor is appointed.
  - c. Not fewer than three members from the staff/staff emeriti
    - i. The staff members will be nominated by Staff Assembly and appointed by the Chancellor.
    - ii. They will be appointed for not less than one nor more than three years, as determined by the Chancellor; however, each may serve until a successor is appointed.
  - d. Not fewer than three undergraduate student members
    - i. The undergraduate student members will be nominated by USAC and appointed by the Chancellor.
    - ii. They will be appointed for not less than one nor more than three years; however, each may serve until a successor is appointed.
    - iii. During the time of appointment, each student appointee must be a registered and enrolled student at UCLA during each quarter of service on the Committee except during summer periods.
    - iv. Each undergraduate student appointee must have at the time of appointment, and must maintain throughout her or his period of service on the Committee, a cumulative grade point average of not less than 2.0.
  - e. Not fewer than three graduate student members
    - i. The graduate student members will be nominated by GSA and appointed by the Chancellor.
    - ii. They will be appointed for not less than one nor more than three years; however, each may serve until a successor is appointed
    - iii. During the time of appointment, each student appointee must be a registered and enrolled student at UCLA during each quarter of service on the Committee except during summer periods.
  - f. Alumni members may be appointed by the Chancellor. They will be appointed for not less than one nor more than three years; however, each may serve until a successor is appointed
3. Training of the Student Conduct Committee  
The Office of the Dean of Students is responsible for providing all Committee members with orientation and training on student conduct policies and procedures, as well as the UC Policy on Sexual Harassment.
4. Scheduling of Hearing  
It is the intention of the *UCLA Student Conduct Code* that hearings be set as soon as reasonably possible after referral to the Student Conduct Committee. In compliance with UCLA Procedure 630.1, cases involving allegations of sexual harassment must be either resolved by the Dean or heard before the Student Conduct Committee within sixty Days of the referral of the complaint. This deadline may be extended on approval from the Vice Chancellor of Student Affairs.

The Hearing Coordinator will schedule a hearing of the case to be conducted no sooner than five Days after the date of Notice that the case was being referred to the Student Conduct Committee. Hearings are typically held on normal business days. With mutual consent of the Student and the Chair of the Student Conduct Committee, hearings may be held on Saturdays, Sundays, or days on which the campus is closed.

The Hearing Coordinator will give Notice of the time and place of the hearing and other relevant hearing information to the Student at least three Days prior to the date of the hearing.

Discipline may be imposed whether or not misconduct also violates the law, and whether or not proceedings are, have been, or may be brought in the courts involving the same acts. Because of this, students may be involved in University conduct proceedings before, after, or during court proceedings.

5. Assignment of Student Conduct Committee Panel Members

A panel will consist of at least three but no more than five members of the Student Conduct Committee, one of whom must be a Chair. To the extent possible, students, faculty and staff will be represented equally on hearing panels.

The Student may, for good cause, challenge the assignment of any particular committee member. The Hearing Coordinator will adjudicate all challenges to committee members.

6. Hearing Procedures

The accused Student will have the opportunity to address all information being presented in the Hearing, to present additional information, and to propose questions to be asked of witnesses who appear at the Hearing or about information presented in the hearing.

The accused Student is responsible for presenting his or her information and may choose to be assisted by a Campus Advocate. A Campus Advocate is another member of the campus community (faculty, staff, or student) who may actively participate in the hearing by identifying clarifying questions to be asked of witnesses on behalf of the accused Student. Students may identify their own Campus Advocate, or may request to be assisted at no cost by a volunteer Campus Advocate assigned by the Hearing Coordinator. (Volunteer Campus Advocates are University employees who are trained to assist students in preparing for student conduct hearings.) The accused Student may also choose to be advised by a person from outside the campus community (e.g., parent, attorney, etc.). That person may be present at the hearing, but may not speak on behalf of a student at any point during the hearing. Options for assistance may be discussed with the Office of Student Legal Services or the Hearing Coordinator.

If the Student does not speak in the hearing, no inferences can be drawn as a direct result of that silence.

The Hearing Chair is responsible for the secure and orderly operation and administration of the hearing, and has the right to exclude persons from the hearing room if deemed necessary. All procedural questions are subject to the final decision of the Hearing Chair.

a. Hearings in Absentia

If a Student does not attend the hearing, the case may proceed to disposition without the Student's participation.

b. Hearings Involving Alleged Sexual Misconduct or Sexual Harassment

i. When a hearing involves alleged sexual misconduct and/or sexual harassment, the complainant will be entitled to be assisted by another member of the campus community. Alternatively, a complainant may request to be assisted at no cost by a volunteer hearing advocate assigned by the Hearing Coordinator.

ii. When the hearing involves an allegation of sexual misconduct and/or sexual harassment, information regarding the complainant's past sexual history will not be admissible unless the Hearing Chair makes a specific finding of relevance after an offer of proof has been submitted. The offer of proof must be submitted to and resolved by the Hearing Chair before the complainant testifies.

7. Standard of Proof

The Standard of proof which will be used in Hearings is that the University must prove that it is more likely than not that the student committed the misconduct of which he or she is accused.

8. Record of Hearing

The Hearing Chair (with the assistance of the Hearing Administrator) will make a single verbatim recording, such as a tape recording, of the hearing. (Deliberations shall not be recorded). This recording shall be the property of the University and will be maintained by the Hearing Coordinator as long as the Office of the Dean of Students maintains the Student discipline records. (See Section V, Privacy and Records Retention.)

The documents submitted by the parties and accepted by the Hearing Chair, will constitute the official record of the hearing, and will become part of the Student's conduct record.

An accused Student who is considering submitting a response to the hearing report will, upon request, be granted post-hearing access to review (but not copy) the recording. This access will be provided on the University campus, during regular business hours; the recording may not be removed from the premises or reproduced. The accused Student may be accompanied by an individual who assisted the Student during the hearing. When the accused Student is unable to be present on the University campus during regular business hours, access to review the recording may be arranged either on campus on Saturdays, Sundays, or days on which the campus is closed, or at an alternate location.

9. Spectators

To protect the privacy of persons participating in the student conduct process, the hearing will be closed to spectators, including witnesses.

When the hearing involves allegations of sexual misconduct and/or sexual harassment, the hearing will be closed to spectators except for the following:

- a. The complainant and accused will each be entitled, for support, to have up to two persons of their choice accompany them to the hearing. A support person may be called as a witness, and the fact that he or she is to act as a witness will not preclude that person's attendance throughout the entire hearing. If a support person is also a witness, the Hearing Chair may require him or her to testify prior to the complainant's testimony. Neither of these persons will be entitled to serve as advocate for the complainant or for the accused Student. The Committee Chair has the right to exclude support persons from the hearing room if deemed necessary for the secure or orderly conduct of the hearing.
- b. The complainant will have the right to be present during the entire hearing, notwithstanding the fact that the complainant is to be called as a witness.

10. Continuing Resolution between the Student and the Dean

Until the Student Conduct Committee makes its report to the Vice Chancellor of Student Affairs, the Student may make an admission of responsibility to the Dean assigned to the case. This disposition of the matter will bind all parties and terminate all proceedings.

11. Reports to the Vice Chancellor of Student Affairs

At the conclusion of a hearing, the Hearing Chair will provide the Hearing Administrator with:

- a. A summary of the allegations,
- b. a summary of the information presented;
- c. whether, in the opinion of a majority of the Committee, the Student has violated one or more of the University policies or campus regulations the Student has been charged with violating, or whether there has been insufficient evidence to sustain such a finding and

- the basis for that opinion; and
- d. if it is the opinion of a majority of the Committee that the Student has violated one or more of the University policies or campus regulations which the Student has been charged with violating, a recommendation of sanction(s).

Within ten Days of the conclusion of the hearing, the Hearing Administrator will prepare a report to the Vice Chancellor of Student Affairs which includes this information.

12. Copies of Reports

A copy of all reports required to be submitted to the Vice Chancellor of Student Affairs by the *UCLA Student Conduct Code* will be sent to the accused Student, and the Dean when transmitted to the Vice Chancellor of Student Affairs. In cases involving allegations of sexual misconduct and/or sexual harassment, a copy of all reports will also be sent to the complainant within three days of sending them to the accused student.

13. Response to the Report by Accused Student

The Accused Student will have five Days from the date of the letter forwarding the Hearing report in which he or she may submit a written response challenging the findings and recommendations included in the report.

Such response shall be in writing; shall state on which of the following three grounds it is being submitted; shall be signed by the Student; and shall be delivered to the Hearing Coordinator.

The three grounds on which a Student may respond are:

- a. The sanction(s) recommended are substantially disproportionate to the severity of the violation of the *UCLA Student Conduct Code* which the Student was found to have committed.
- b. The Hearing was not conducted in accordance with the *UCLA Student Conduct Code*. Deviations from designated procedures will not be a basis for sustaining a challenge unless the procedural error substantially impacted the finding or sanction.
- c. There is new information, or other relevant facts, potentially sufficient to alter the findings, but that could not have been known to the Student at the time of the Hearing.

14. Response to the Report by Complainant

In cases of alleged sexual harassment and/or sexual misconduct, the complainant will have five Days from the date of the letter forwarding the Hearing report in which he or she may submit a written response challenging the findings included in the report.

Such response shall be in writing; shall state on which of the following two grounds it is being submitted; shall be signed by the Complainant; and shall be delivered to the Hearing Coordinator.

The two grounds on which a Complainant may respond are:

- a. The Hearing was not conducted in accordance with the *UCLA Student Conduct Code*. Deviations from designated procedures will not be a basis for sustaining a challenge unless the procedural error substantially impacted the finding.
- b. There is new information, or other relevant facts, potentially sufficient to alter the findings, but that could not have been known to the Complainant at the time of the Hearing.

L. Review by the Vice Chancellor of Student Affairs

1. Decision by the Vice Chancellor of Student Affairs

The final decision regarding a case that has been heard by a Student Conduct Committee will



be made by the Vice Chancellor of Student Affairs.

2. Basis for Decision

The Vice Chancellor of Student Affairs will base her or his decision upon:

- a. the report submitted by the Hearing Administrator including the findings and recommended sanction of the Hearing Committee;
- b. counsel solicited from the Dean regarding sanction(s) imposed in similar cases and any previous cases of misconduct by the Student on file with the Office of the Dean of Students; and
- c. the content of any written response submitted by the accused Student (or by the complainant, if sexual misconduct and/or sexual harassment has been alleged). In order to verify any statement submitted by an accused Student or by a complainant in a case of alleged sexual misconduct and/or sexual harassment the Vice Chancellor of Student Affairs may contact persons reasonably expected to have knowledge of such matters.

3. Sanctions

The Vice Chancellor of Student Affairs may decide to impose one or more of the sanctions listed in Section III.F. The Vice Chancellor is not limited to those sanctions recommended by the Student Conduct Committee, even though such decision may result in the imposition of more severe disciplinary action.

When a response based on new information or other relevant facts that could not have been known to the Student and/or Complainant at the time of the hearing and which are deemed sufficient to alter a decision is successful, the Vice Chancellor may opt to send the case back to the Committee to be reheard.

4. Notice of Decision

The Vice Chancellor of Student Affairs will have ten Days after the receipt of the report of the Student Conduct Committee, or the written response by the Student and/or Complainant, whichever is latest, to deliver her or his written decision.

The written decision will be delivered to the Student, and to the Dean. In cases of alleged sexual misconduct and/or sexual harassment, the written decision will also be delivered to the complainant.

The Vice Chancellor of Student Affairs may also notify other parties of her or his decision, or may direct the Dean to do so, if there is an institutional interest in doing so, and if such parties are authorized to receive such information under the provisions of Section 130.00 et seq. of the *University of California Policies Applying to Campus Activities, Organizations, and Students*.

The results of any hearing in which a violent physical assault is alleged will be disclosed to the alleged victim by the Dean. The scope of information to be provided under this provision will be:

- a. the University's final determination with respect to the alleged act of violence; and
- b. any sanction that is imposed against the alleged offender with respect to the alleged act of violence.

#### IV. INTERIM AND EMERGENCY SUSPENSION

##### A. Interim Suspension

Before final determination of alleged misconduct, Interim Suspension may be imposed by the Office of the Dean of Students. Interim Suspension, as defined in Section 105.08 of the *University of California Policies Applying to Campus Activities, Organizations, and Students*, may include exclusion from classes or from other specified activities or areas of the campus.

A student will be restricted only to the minimum extent necessary when there is reasonable cause to believe that the student's participation in University activities or presence at specified areas of the campus will lead to physical abuse, threats of violence, or conduct that threatens the health or safety of any person on University property or at official University functions, or other disruptive activity incompatible with the orderly operation of the campus.

- 1 Within twenty-four hours of the imposition of the Interim Suspension, the Chancellor or her or his designee will review the information upon which the Interim Suspension was based. If the Chancellor or designee does not affirm the Interim Suspension within twenty-four hours of its imposition, the Interim Suspension will be deemed void and a reasonable effort will be made to inform the Student who was suspended that the Interim Suspension is void. Should the Interim Suspension be voided, that will have no bearing on University disciplinary proceedings arising from the conduct which gave rise to the Interim Suspension. Any such disciplinary proceedings will be conducted under the normal procedures provided by the *UCLA Student Conduct Code*.
- 2 Upon imposition of the Interim Suspension, the Office of the Dean of Students will notify the Student under the Interim Suspension of the charges against him or her, the length and conditions of the Interim Suspension, and the opportunity for a hearing with the Associate Vice Chancellor, Student and Campus Life to challenge the Interim Suspension.
- 3 Hearings to review Interim Suspensions will have scheduling priority. The Student may be assisted at the hearing by an assistant of the Student's choosing and at the Student's expense. The Student may present information in support of the contention that the Interim Suspension is unnecessary or that its conditions should be modified. The Associate Vice Chancellor, Student and Campus Life is authorized to investigate the facts which gave rise to the Interim Suspension and may lift the Interim Suspension or modify its conditions. Within three Days of the conclusion of the hearing, the Associate Vice Chancellor, Student and Campus Life will determine a) if the Interim Suspension is necessary; and b) if its conditions should be modified. The result of this hearing will have no bearing on other University disciplinary proceedings arising from the conduct which gave rise to the Interim Suspension. Any such disciplinary proceedings will be conducted under the normal procedures provided in the *UCLA Student Conduct Code*.
- 4 Disciplinary proceedings involving Students on Interim Suspension will follow the normal procedures provided in the *UCLA Student Conduct Code*, however, at each step of the proceedings they will have scheduling priority.

#### B. Emergency Suspension

During a state of emergency, the Chancellor may impose Emergency Suspension on a student as described in Section 53.00 of the *University of California Policies Applying to Campus Activities, Organizations, and Students* when there is reasonable cause to believe:

1. The individual has participated in a disturbance of the peace or unlawful assembly, or has acted in violation of the campus emergency orders, has committed an act of physical violence, or has threatened to commit such an act, or has committed a theft or has damaged property; or
2. The individual's presence on campus will lead to violation of campus emergency orders, violence, intimidation, damage to property, or other disruptive activity incompatible with the orderly operation of the campus.
  - a. If Emergency Suspension is imposed by a designated representative of the Chancellor, such representative will immediately inform the Chancellor and will submit a written report on the action to the Chancellor as soon as it is reasonably possible. The report will

contain a description of the person suspended, including the person's name and, if available, address and telephone number, and a statement of the facts giving rise to the Suspension. If the Chancellor does not affirm the action of the designated representative within twenty-four hours after being informed that the Emergency Suspension has been imposed, the Emergency Suspension will be deemed void and a reasonable effort will be made to inform the person who was suspended that the Emergency Suspension is void.

- b. Any Student placed on Emergency Suspension will be given Notice of Emergency Suspension, either by delivering it to the individual personally or by mailing it to the individual's last known address of record. The Notice of Emergency Suspension will inform the individual of the procedures by which the validity of the Emergency Suspension can be appealed, including the opportunity to obtain a special hearing on the Emergency Suspension. If an individual is found to have been unjustifiably placed on Emergency Suspension, the University is committed to a policy whereby reasonable efforts are taken to assist such an individual who has been disadvantaged in her or his employment or academic status.
- c. Any Student placed on Emergency Suspension will not, during the period of Emergency Suspension, enter upon specified areas of the campus or engage in specified activities, as set forth in the Notice of Emergency Suspension.

The exclusion or restriction will be limited to the minimum extent necessary to protect the health and safety of persons or property, or to maintain the orderly operation of the campus

- d. The outcome of the appeal will have no bearing on University disciplinary proceedings arising from the conduct which gave rise to the Emergency Suspension
- e. Violation of any condition set forth in the Notice of Emergency Suspension will subject the individual to disciplinary proceedings based upon such violation.

## V. PRIVACY AND RECORDS RETENTION

Student conduct records are confidential. The disclosure of information from such records is subject to section 130.00 of the *University of California Policies Applying to Campus Activities, Organizations and Students* (<http://www.ucop.edu/ucophome/coordrev/ucpolicies/aos/toc.html>), to the California Information Practices Act, and to the Family Educational Rights and Privacy Act.

In cases where the final disposition is Dismissal, the Office of the Dean of Students retains student conduct records indefinitely.

For cases that do not result in dismissal but which are required to be included in the *UCLA Jeanne Clery Crime Statistics Report*, the Office of the Dean of Students retains student conduct records for seven years from the date of the Notice of final disposition. Student conduct records in all other cases are retained for four years from the date of the Notice of final disposition. When there have been repeated violations of the *UCLA Student Conduct Code*, all student conduct records pertaining to an individual student will be retained for four years (seven years for cases which are required to be included in the *UCLA Jeanne Clery Crime Statistics Report*) from the date of the final disposition in the most recent case.

Upon receipt of a request from professional schools, graduate programs, employers, or others, for the disciplinary records of a student, after the student provides an appropriate confidentiality waiver (where applicable), the Office of the Dean of Students will only report and/or release records where violations resulted in a sanction of suspension and/or dismissal, either imposed and deferred, or in the revocation of the awarding of a degree.

## VI. AMENDMENT AND MODIFICATION

Amendment of the *UCLA Student Conduct Code* may be made by the Chancellor in consultation with students, faculty, staff, and the Office of the Dean of Students. Prior to adoption, such amendments will be submitted to the Office of General Counsel for review for consistency with the policies of the University of California and state and federal law.